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**Title:** Impact of Expanded Small Alkyl Binding Pocket by Triple Point Mutations on Substrate Specificity of *Thermoanaerobacter ethanolicus* Secondary Alcohol Dehydrogenase

**Article Type:** Research article

**Keywords:** Computer-aided modeling, alcohol dehydrogenase, substrate specificity, asymmetric reduction, thermostability

ACCEPTED

1 **Impact of Expanded Small Alkyl Binding Pocket by**  
2 **Triple Point Mutations on Substrate Specificity of**  
3 ***Thermoanaerobacter ethanolicus* Secondary Alcohol**  
4 **Dehydrogenase**

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20 **Running title: Expanded Small Binding Pocket in TeSADH**

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23 **Supplementary data** for this paper are available on-line only at <http://jmb.or.kr>.

24  
25 **Abbreviations:** ADH (Alcohol dehydrogenases), TeSADH (*Thermoanaerobacter*  
26 *ethanolicus* alcohol dehydrogenase)

27

## 28 ABSTRACT

29 Site-directed mutagenesis was employed to generate five different triple point mutations  
30 in the double mutant (C295A/I86A) of *Thermoanaerobacter ethanolicus* alcohol  
31 dehydrogenase (TeSADH) by computer-aided modeling with the aim of widening the  
32 small alkyl binding pocket. **TeSADH engineering enables the enzyme to accept**  
33 **sterically hindered substrates which could not be accepted by the wild-type enzyme.**  
34 **The underline in the mutations highlights the additional point mutation on the double**  
35 **mutant TeSADH introduced in this work.** The catalytic efficiency ( $k_{cat}/K_M$ ) of the  
36 M151A/C295A/I86A triple TeSADH mutant for acetophenone increased about 4.8-fold  
37 higher than that of the double mutant. A 2.4-fold increase in conversion of 3'-  
38 methylacetophenone to (*R*)-1-(3-methylphenyl)-ethanol with a yield of 87% was  
39 obtained by using V115A/C295A/I86A mutant in asymmetric reduction. The  
40 A85G/C295A/I86A mutant also produced (*R*)-1-(3-methylphenyl)-ethanol (1.7-fold)  
41 from 3'-methylacetophenone and (*R*)-1-(3-methoxyphenyl)ethanol (1.2-fold) from 3'-  
42 methoxyacetophenone, with improved yield. In terms of thermal stability, the  
43 M151A/C295A/I86A and V115A/C295A/I86A mutants significantly increased  $\Delta T_{1/2}$  by  
44 +6.8°C and +2.4°C, respectively, with thermal deactivation constant ( $k_d$ ) close to the  
45 wild-type enzyme. The M151A/C295A/I86A mutant reacts optimally at 70 °C with  
46 almost 4 times more residual activity than the wild-type. Considering broad substrate  
47 tolerance and thermal stability together, it would be promising to produce (*R*)-1-(3-  
48 methylphenyl)-ethanol from 3'-methylacetophenone by V115A/C295A/I86A, and (*R*-  
49 1-phenylethanol from acetophenone by M151A/C295A/I86A mutant, in large-scale  
50 bioreduction processes.

51 **Keywords:** Computer-aided modeling, alcohol dehydrogenase, substrate specificity,  
52 asymmetric reduction, thermostability

53

## 54 **Introduction**

55 The asymmetric reduction of ketones to chiral alcohols has been a method of choice  
56 to pharmaceutical and fine chemical companies [1, 2]. Alcohol dehydrogenases (ADHs)  
57 are biocatalysts that catalyze the reversible reduction of ketones and aldehydes to  
58 alcohols [3, 4]. The advantages of using ADHs as novel catalysts for biotransformation  
59 include not only its high chemo-, regio- and enantio-selectivity but also the ability to  
60 react in non-aqueous media [5, 6]. Recent comprehensive reviews [5, 7-9] have  
61 thoroughly described various ADHs used in the asymmetric reduction of hydrophobic  
62 ketones to produce chiral alcohols. Substrate specificity and selectivity is important in  
63 pharmaceutical settings to produce enantiopure compounds, however, such catalytic  
64 properties are not always attained in the wild-type enzyme [8]. Reduction of some  
65 substituted aromatic, cyclic, and aliphatic ketones by ADHs with broad substrate  
66 specificity have been reported [10, 11]. Yang *et al.* [12] used plant tissues to reduce  
67 prochiral ketones to chiral alcohols with enhanced enantioselectivity.

68 *Thermoanaerobacter ethanolicus* alcohol dehydrogenase (TeSADH) has been proven  
69 in the past decades as an alternative biocatalyst for producing chiral alcohols with broad  
70 substrate tolerance and thermal stability at extreme process conditions [8, 13]. Modeling  
71 and experimental studies by Heiss *et al.* [13] identified key residues in the small and  
72 large alkyl binding pockets essential for catalysis, hence their substitutions may affect

73 substrate binding and kinetic parameters. Alanine mutations at Cys-295 [13], Ile-86  
74 [14], and Trp-110 [15, 16] were shown to broaden the TeSADH active site to  
75 accommodate some phenyl-ring-containing compounds which are not natural substrates  
76 for the wild-type. However, these mutants still lack the ability to accommodate and  
77 convert several phenyl-ring-containing ketones with electron donating/withdrawing  
78 groups.

79 By applying site-directed mutagenesis, this study targeted and replaced five key  
80 residues in the small binding pocket (Ala-85, Val-115, Met-151, Thr-153, and Val-178)  
81 of the double mutant (I86A/C295A) TeSADH enzyme with alanine or glycine to  
82 generate five different triple mutants (Fig. 1). Substitutions of high molecular weight  
83 residues with low molecular weight ambivalent amino acids by computer-aided  
84 modeling widened the active site to accommodate ketones with large alkyl groups to  
85 enhance catalysis. We compared the relative activity, catalytic efficiency, and turn-over  
86 number of the generated mutants by reacting them with non-natural prochiral  
87 derivatives of acetophenone (Fig. 2). We chose the *meta*- and *para*-substituted  
88 derivatives of acetophenone (charged and neutral substituents) because most reports  
89 have not described thoroughly the effect of such functional groups on kinetic  
90 parameters.

91 To elucidate the correlation between short-time kinetic studies and the enzyme  
92 conversion capacity, asymmetric reduction of substrates (**1a–6a**) in biphasic media was  
93 studied. Additionally, we coupled the reduction process with the mutant's thermal  
94 stability by calculating the thermodeactivation constant ( $k_d$ ) at different temperatures.  
95 We herein report that each mutant shows diverse specificity to electron accepting or  
96 donating derivatives of acetophenone notwithstanding the structural position of

107 mutation with remarkable catalytic efficiencies, yield and thermal stabilities. Most  
108 mutants show broad substrate tolerance due to their selectivity ‘mistakes’ making them  
109 ideal candidates for chiral alcohol synthesis. Also, the kinetic results give a fair  
110 knowledge of the large-scale asymmetric reduction, however, there is no clear  
111 correlation between the two.

## 112 **Materials and Methods**

### 113 **Materials**

114 Acetophenone (**1a**), 3'-methylacetophenone (**2a**), 4'-methylacetophenone (**3a**), 3'-  
115 chlorolacetophenone (**4a**), 4'-chloroacetophenone (**5a**), 3'-methoxyacetophenone (**6a**)  
116 and NADP<sup>+</sup>/NADPH were purchased from Sigma-Aldrich. Isopropanol (**7a**) was from  
117 **J. T. Baker Chemical Company**. (*R*)-1-phenyl-1-ethanol, (*R*)-1-(3-chlorophenyl)-ethanol  
118 and (*R*)-1-(3-methylphenyl)-ethanol standard alcohols were purchased from Enamine  
119 Ltd. All other standard chemicals were purchased from Sigma-Aldrich.

### 120 **Site-Directed Mutagenesis**

121 The 50  $\mu$ l reaction mixture consist of 160  $\mu$ M each of dNTPs, *Pfu*Turbo DNA  
122 polymerase (2.5 U/ $\mu$ l, Agilent) and 10  $\times$  buffer solution. The forward primer sets are  
123 listed in Table 1. Template used is pBluescript II KS (+) with TeSADH gene containing  
124 I86A/C295A mutations. The reaction was run in a thermal cycler (Bio-Rad S1000™)  
125 for 25 cycles at 95°C for 1 min, 95°C for 45 sec, 55  $\pm$  2°C for 1 min, 68°C for 6 min and  
126 16 °C for storage. PCR products were digested with *Dpn* I (New England BioLabs) at

117 37 °C for 5 h to thoroughly degrade the parental template.

### 118 **DNA Sequencing, Protein Expression and Purification**

119 The PCR products (2  $\mu$ l) were transformed into 200  $\mu$ l *E. coli* DH5 $\alpha$  competent cells  
120 following the method described in [17] with some modifications. The mixture was  
121 incubated at 36.5°C for 60 min with 120 rpm shaking which was further increased to  
122 180 rpm for 90 min, and then spread out on LB agar plate containing kanamycin and  
123 ampicillin. Colonies were randomly selected for plasmid mini-prep and all the  
124 mutations were confirmed by DNA sequencing (SolGent Co., Korea). Protein  
125 expression and purification was performed as previously reported [18] with some  
126 modifications. Mutant TeSADH was expressed in *E. coli* DH5 $\alpha$  cells in 500 ml LB  
127 medium containing 50  $\mu$ g/ml each of ampicillin and kanamycin. Crude TeSADH extract  
128 was loaded on a 5 ml of 50% suspended Reactive Red 120-Agarose, Type 3000-CL  
129 (Sigma-Aldrich) affinity chromatography, equilibrated with 0.07 M NaClO<sub>4</sub> in buffer A  
130 (50 mM Tris-HCl [pH 8.0 at 25°C], 3 mM DTT and 10  $\mu$ M ZnCl<sub>2</sub>). Proteins were eluted  
131 with 0.2 M NaClO<sub>4</sub> in buffer A. Protein concentration was estimated using Bio-Rad  
132 protein assay kit with bovine serum albumin as standard.

### 133 **Modeling of Mutant TeSADHs**

134 Modeling of mutant TeSADHs was done using automatic Swiss modeling tool [19,  
135 20], and analyzed graphically with PyMol [21]. In all, chain A of template protein  
136 [PDB; 1bxz (2.99 Å) [22] was used to generate the ribbon structure (Fig. 1). QMEAN4  
137 and QMEAN Z-score are statistical parameters used to estimate modeling quality of the  
138 mutants [23] (supplementary data).

### 139 Enzyme Activity Measurement and Determination of Kinetic Parameters

140 All enzyme assays were performed at 60 °C in triplicate following NADP<sup>+</sup>/NADPH  
141 production at 340 nm ( $\epsilon_{340} = 6,220 \text{ M}^{-1}\text{cm}^{-1}$ ) in a UV/Vis spectrophotometer equipped  
142 with TCC-temperature controller (Shimadzu, Japan). The reaction contained 50 mM  
143 Tris-HCl buffer (pH 8.9 at 60°C), 0.5 mM NADP<sup>+</sup>/NADPH, 4.0 mM substrate, 3.0 mM  
144 DTT and 10  $\mu\text{M}$  ZnCl<sub>2</sub> in a total of 1 ml. Enzymes (0.52 mg/ml) were pre-incubated at  
145 60°C for 3 min before the reaction. One unit of activity is defined as the amount of  
146 enzyme needed to oxidize or reduce 1  $\mu\text{mol}$  of NADP<sup>+</sup>/NADPH per minute. The  
147 relative activity of mutants with isopropanol were calculated following the formulae, in  
148 which DM means the double mutant (C295A/I86A) TeSADH (Table 2):

$$149 \quad \text{Relative Activity} = \frac{\text{Specific activity of triple mutant}}{\text{Specific activity of DM control}} \times 100\%$$

150 The  $K_M$  values of **1a**, **4a**, **5a**, and **7a** were determined using increasing concentrations  
151 from 0.1–32 mM (Table 3 and Table 4). The  $K_M$  and  $V_{\text{max}}$  values were estimated by  
152 non-linear fit using OriginPro 9.0. All values represent mean of triplicate reactions  $\pm$   
153 standard deviation.

### 154 Asymmetric Reduction in Biphasic System

155 Asymmetric reduction of ketones follow methods described previously [14] with  
156 some modifications (Fig. 3). The mixture contained **1a–6a** (0.21 mmol), NADPH (1  
157 mg), DTT (1 mg), ZnCl<sub>2</sub> (10  $\mu\text{M}$ ) in 50 mM Tris-HCl buffer solution (300  $\mu\text{l}$ ; pH 8.0 at  
158 25°C), 2-propanol (200  $\mu\text{l}$ ) and hexane (500  $\mu\text{l}$ ) as solvent. In all, 200  $\mu\text{l}$  (0.52 mg/l) of  
159 enzyme were used and reaction mixtures incubated at 50°C, shaken at 120 rpm for 24 h.



160 The reaction products were extracted using diethyl ether ( $3 \times 2.5$  ml), centrifuged at  
161 5,000 rpm for 3 min, and dried over  $\text{Na}_2\text{SO}_4$  for 30 min. The organic layer was vacuum  
162 concentrated (Ecospin 3180C, Biotron), and the product/yield estimated by GC  
163 (Younglin Instruments; Acme 6000E GC) equipped with a Supelco  $\beta$ -Dex 120 chiral  
164 capillary column (supplementary data). The absolute configuration of the alcohols  
165 produced were determined by converting the alcohols to their corresponding acetate  
166 derivatives and comparing them with their *R*- or *S*-acetate derivatives of a standard  
167 samples. The retention time of the acetylated alcohols and their corresponding  
168 acetylated standards helped to identify the configuration of produced alcohols. To  
169 confirm the absolute configuration of the produced alcohols, co-injection on a chiral  
170 column with a standard alcohol was done.

#### 171 **Measurement of Thermal Stability and Determination of $T_{1/2}$ and $k_d$ Values of** 172 **TeSADH**

173 The thermal stability of triple mutants, double mutant, and wild-type TeSADH were  
174 determined by measuring the residual enzymatic activity after 60 min of incubation in  
175 buffer from 30–90°C (Fig. 4).  $T_{1/2}$  is the temperature at which 50% of the enzymatic  
176 activity is lost after 60 min incubation [24, 25] (Table 2). Activity assay follows the  
177 standard procedure previously described and modified by using 4 mM isopropanol as  
178 substrate. The thermodeactivation constant ( $k_d$ ) was calculated following the equation,  
179  $\ln A_t = \ln A_o - k_d (t)$ , where  $A_t$  is the enzymes activity after incubation,  $A_o$  is the initial  
180 enzyme activity, and  $t$  is the time of incubation which is usually 60 min [26] (Table 5).

## 181 **Results and Discussion**

### 182 **Relative Activity and Kinetics Studies**

183 Site-directed mutagenesis was used to generate an additional single point mutation in  
184 the small alkyl binding pocket of double mutant (I86A/C295A) TeSADH enzyme to  
185 obtain five different triple mutants. Point mutations at Val-115, Met-151, Thr-153, and  
186 Val-178 to alanine as well as a point mutation of Ala-85 to glycine were confirmed by  
187 DNA sequencing. Substitutions of these amino acid residues with smaller alanine or  
188 glycine widened the TeSADH active site to accept bulkier aromatic and alkyl ketones.  
189 The relative activity of mutants with isopropanol (7a), a model substrate, was assayed to  
190 ascertain the effectiveness of how point mutations affected both the wild-type and the  
191 double mutant in accommodating the substrate in its active site.

192 From our results in Table 2, the M151A/C295A/I86A, T153A/C295A/I86A, and  
193 V178A/C295A/I86A triple mutants remarkably improved their relative activity for  
194 isopropanol catalysis between 41% to 57% higher than the double mutant control. Li *et*  
195 *al.* [22] reported van der Waals interactions of the carbon C1 atom of *sec*-butanol with  
196 atoms in Ala-85, Trp-110, and Leu-294 residues, hence, the necessity of these residues  
197 for *sec*-butanol catalysis. Interestingly, a substitution of Ala-85 with glycine reduced the  
198 reactivity of the A85G/C295A/I86A mutant by 45% with isopropanol. Also, the  
199 reactivity of V115A/C295A/I86A mutant reduced drastically by 85% in isopropanol  
200 catalysis signifying the importance of Val-115 residue in the wild-type. These initial  
201 findings suggest that triple point mutations in the small binding pocket of double mutant  
202 TeSADH alter the enzymes relative activity to isopropanol catalysis. These results also

203 act as a predictive assay to evaluate the effectiveness of Ala-85, Val-115, Met-151, Thr-  
204 153, and Val-178 for catalysis in a hydrophilic environment. In view of this, the kinetic  
205 parameters of the mutants in different organic media (polar (**7a**), and non-polar (**1a**, **4a**,  
206 and **5a**)) were studied to show how each triple point mutation alters the mutants binding  
207 affinity, turnover number, and catalytic efficiency.

208 As shown in Table 3, the V178A/C295A/I86A triple mutant had the lowest binding  
209 affinity ( $K_M = 20.2 \pm 6.3$  mM) with A85G/C295A/I86A having the highest turnover  
210 number of  $88.1 \pm 6.3$  s<sup>-1</sup> for isopropanol. The wild-type TeSADH shows much better  
211 values in binding affinity ( $K_M = 0.069 \pm 0.014$  mM; 60–290 times) and catalytic  
212 efficiency ( $3.4 \times 10^5$  M<sup>-1</sup>s<sup>-1</sup>; 35–240 times). Conversely, the triple mutants  
213 M151A/C295A/I86A, V178A/C295A/I86A, and T153A/C295A/I86A exhibited about  
214 1.2 times higher relative activity with isopropanol compared to the wild-type enzyme  
215 (Table 2). The possible explanation to this inconsistency is that kinetics studies at  
216 various substrate concentrations (Table 3) give a true representation of the kinetic  
217 behavior of the enzyme rather than measurement of the relative activity at a definite  
218 substrate concentration (4mM) as in Table 2. From the kinetic point of view, the  
219 T153A/C295A/I86A and V178A/C295A/I86A triple mutants showed similar binding  
220 affinity ( $K_M$ ), turnover number ( $k_{cat}$ ), and catalytic efficiency ( $k_{cat}/K_M$ ) to 3'-  
221 chloroacetophenone (**4a**) (Table 4). Furthermore, a similar kinetic pattern is observed  
222 between both mutants due to their similar catalytic efficiency to acetophenone (**1a**) and  
223 4'-chloroacetophenone (**5a**) (Table 3 and Table 4).

224 As shown in Table 3 and Table 4, a high catalytic efficiency of  $9.3 \times 10^3$  M<sup>-1</sup>s<sup>-1</sup> to  
225 acetophenone (**1a**) and  $5.6 \times 10^3$  M<sup>-1</sup>s<sup>-1</sup> to 3'-chloroacetophenone (**4a**) by the  
226 M151A/C295A/I86A triple mutant is an indication of the mutant's broad selectivity for

227 acetophenone and the *meta*-electron withdrawing substituent, 3'-chloroacetophenone. A  
228 high binding affinity of M151A/C295A/I86A to both acetophenone ( $K_M = 0.3 \pm 0.11$   
229 mM) and 3'-chloroacetophenone ( $K_M = 0.31 \pm 0.05$  mM) might be responsible for the  
230 4.8-fold increase in mutants catalytic efficiency compared to the double mutant control.  
231 From Table 3 and Table 4, a binding affinity of the triple mutant's range between 0.31  
232 mM and 1.3 mM for 3'-chloroacetophenone (**4a**) and 0.75 mM and 8.3 mM for 4'-  
233 chloroacetophenone (**5a**). Converse to the high binding affinity of mutants with 3'-  
234 chloroacetophenone (**4a**) reaction, the *para*- chlorinated substituent, 4'-  
235 chloroacetophenone, have a low binding affinity which could be attributed to steric  
236 effects. Similarly, apart from V115A/C295A/I86A, all the other triple mutants together  
237 with the wild-type had a lower catalytic efficiency to 4'-chloroacetophenone (**5a**). The  
238 inductive effect of the electron withdrawing group, chlorine, promotes electron  
239 delocalization in the *meta* position to cause reduction. It was previously found that  
240 strongly electron donating *para*-substituents on acetophenone suppresses electron  
241 delocalization by resonance or induction effect to cause enzymatic reduction to occur  
242 [27]. The mutants showed diverse  $K_M$  and  $k_{cat}/K_M$  values with substrates signifying  
243 different effects of each mutation in the double mutant TeSADH binding pocket.

#### 244 **Conversion of Acetophenone Derivatives by Asymmetric Reduction**

245 Asymmetric reduction of triple mutants, double mutant, and wild-type were carried  
246 out in biphasic media to further investigate the activity and kinetics data. The reduction  
247 of aromatic hydrophobic ketones (**1a–6a**) were done using hexane as solvent and water-  
248 miscible isopropanol as co-substrate for NADPH regeneration. Asymmetric reduction  
249 of substrates catalyzed by the double mutant enzyme gave productivity which are

250 consistent with our kinetics data in the order of **4a** > **1a** > **6a** > **2a** > **5a** (Fig. 3). The  
251 V115A mutation widened the small binding pocket in the V115A/C295A/I86A triple  
252 mutant to significantly convert 87% of the *meta*-methyl electron donating substrate, 3'-  
253 methylacetophenone (**2a**), to (*R*)-1-(3-methylphenyl)-ethanol (2.4-fold increase to the  
254 double mutant) with percent enantiomeric excess (% ee) > 99%. High % ee values were  
255 observed from our chromatographs (supplementary data) since all the product peaks  
256 were in either *R* or *S* configuration. It is important to note that the V115A mutation  
257 introduced in the double mutant did not alter the stereospecificity of the triple mutant in  
258 producing alcohols with *R* enantio preference. The V115A/C295A/I86A mutant also  
259 showed broad substrate specificity by producing 63% of (*R*)-1-(3-chlorophenyl)ethanol  
260 from **4a**, 48% of (*R*)-1-phenylethanol from **1a**, and 36% of (*R*)-1-(3-  
261 methoxyphenyl)ethanol from **6a**. The A85G/C295A/I86A triple mutation in the small  
262 binding pocket enlarged it to accommodate **1a**, **2a**, **4a**, and **6a** and converts these  
263 substrates more than 50% to their corresponding chiral alcohols. The  
264 A85G/C295A/I86A triple mutant asymmetrically produced 58% of (*R*)-1-(3-  
265 methoxyphenyl)ethanol from 3'-methoxyacetophenone (**6a**) compared to 49% yield by  
266 the double mutants. The oxygen atom in 3'-methoxyacetophenone due to its high  
267 electronegativity difference may account for the preferential increase in yield by the  
268 triple mutant.

269 This broad substrate specificity of the double and triple mutants make the enzyme a  
270 good candidate for dynamic-kinetic resolution [28]. The T153A/C295A/I86A triple  
271 mutant only showed broad specificity to acetophenone (**1a**) and 3'-chloroacetophenone  
272 (**4a**) with  $\geq 65\%$  yield in (*R*)-1-phenylethanol and (*R*)-1-(3-chlorophenyl)ethanol,  
273 respectively. The V178A/C295A/I86A triple mutant produced  $\leq 12\%$  of both (*R*)-1-

274 phenylethanol and (*R*)-1-(3-chlorophenyl)ethanol. Though, T153A/C295A/I86A and  
275 V178A/C295A/I86A mutants have similar  $K_M$  and  $k_{cat}/K_M$  values to acetophenone and  
276 3'-chloroacetophenone, the mutants have different convertibility in asymmetric  
277 reduction.

278 The triple point mutants A85G/C295A/I86A, V115A/C295A/I86A, and  
279 T153A/C295A/I86A have unfavorable kinetic outcomes, nonetheless, their asymmetric  
280 reduction surprisingly gave higher yield of (*R*)-1-(3-methoxyphenyl)ethanol from **6a**,  
281 (*R*)-1-(3-methylphenyl)-ethanol from **2a**, and (*R*)-1-phenylethanol from **1a**,  
282 respectively, compared to the double mutant (Fig. 3). The results indicate that the  
283 double and triple mutants have a broad substrate specificity to acetophenone (**1a**), 3'-  
284 chloroacetophenone (**4a**), 3'-methylacetophenone (**2a**), and 3'-methoxyacetophenone  
285 (**6a**). The broader substrate tolerance of mutant enzymes (A85G/C295A/I86A,  
286 V115A/C295A/I86A, and T153A/C295A/I86A) to sterically demanding electron  
287 withdrawing/donating substituents of acetophenone is important for producing optically  
288 active and enantiomeric pure alcohols. None of the triple mutants generated, nor the  
289 double mutant show any substantive preference for 4'-chloro- and 4'-methyl-  
290 acetophenone which may be attributed to steric effects of the ketones in the active site.  
291 **It is worth mentioning that the wild-type could not produce alcohols more than 2% from**  
292 **acetophenone derivatives, despite proving reactive for some of them in the kinetic**  
293 **studies and activity assay.**

294 We emphasize that, though the kinetic data does not always correlate with the  
295 thermodynamic hydrogen transfer asymmetric reduction [29]; this analysis is vital to  
296 explain bioreduction activity assay and kinetic parameters like  $K_M$  and  $V_{max}$ . The  
297 decrease in hydrophobicity by alanine mutation, recycling of NADPH, and accessibility

298 of substrates to the enzymes active site may account for the difference between the  
299 kinetic and asymmetric reduction values. The use of hexane as solvent and 2-propanol  
300 as co-substrate in this enzymatic biotransformation gave higher conversions as reported  
301 by Musa *et al.* [16]. However, other media systems may enhance the convertibility and  
302 enantioselectivity of substrates by mutant enzymes (supplementary data contain GC  
303 chromatographs and modeled TeSADH mutants). The improved catalytic efficiency,  
304 binding affinity, and remarkable productivity of mutants to substrates demonstrate that  
305 mutations in the small alkyl binding pocket affect catalysis.

### 306 **Thermal Stability Studies**

307 To ascertain how the mutated amino acid residues altered the geometrical stability  
308 and the thermal stability of TeSADH, the wild-type, double mutant, and triple mutants  
309 were monitored by estimating residual activity at which 50% enzymatic activity is lost  
310 ( $T_{1/2}$ ) (Table 2). This was done by incubating enzymes for 60 min at increasing  
311 temperatures from 30–90°C. As expected, the  $T_{1/2}$  of the wild-type was 86.5°C [30]  
312 confirming the tolerance of the 352-amino acid tetramer to extremely high temperatures.  
313 We herein report that, the double point mutation, C295A/I86A, in TeSADH reduced the  
314  $T_{1/2}$  to 73.1°C, and optimally reacts with isopropanol at 50°C (Fig. 4). The temperature  
315 coefficient ( $Q_{10}$ ) of all mutants, double mutant, and wild-type increased exponentially  
316 from 0.8–2.2 at 30°C to 50°C (data not shown), and does not depend on enzyme  
317 concentration [30].

318 From Table 2, for A85G/C295A/I86A, V178A/C295A/I86A, and  
319 T153A/C295A/I86A mutants, an additional single point mutation in the small alkyl  
320 binding pocket of the double mutant did not only alter its catalysis but also lowered

321  $\Delta T_{1/2}$  by  $-8^{\circ}\text{C}$ ,  $-4.6^{\circ}\text{C}$ , and  $-0.6^{\circ}\text{C}$ , respectively. The M151A/C295A/I86A and  
322 V115A/C295A/I86A mutants significantly increased  $\Delta T_{1/2}$  by  $+6.8^{\circ}\text{C}$  and  $+2.4^{\circ}\text{C}$ ,  
323 respectively, to restore the double mutant's lost thermal stability. As expected, a  
324 substitution of Ala-85 with glycine drastically increased conformational entropy of  
325 unfolding the A85G/C295A/I86A 3-dimensional structure [31], thereby reducing the  
326  $T_{1/2}$  to  $65.1^{\circ}\text{C}$ . Also, we can deduce that the branched  $C\beta$  of Thr-153 and Val-178  
327 contributes in maintaining the geometrical stability of TeSADH. A substitution of Val-  
328 178 with alanine in V178A/C295A/I86A triple mutant enzyme may reduce the  
329 hydrophobic packing of enzyme thereby deteriorating the thermal stability.

330 From Fig. 4, the M151A/C295A/I86A triple mutant reacts optimally at  $70^{\circ}\text{C}$  (with  
331 almost 4 times more residual activity than the wild-type) showing enhancement of the  
332 mutant's thermal stability in organic media. The thermal stability and high productivity  
333 of mutant enzymes make them ideal candidates for industrial usage. From the data in  
334 Table 5, the thermodeactivation constant ( $k_d$ ) increases in response to increasing  
335 temperatures with the double mutant deactivating from  $60$  to  $90^{\circ}\text{C}$ , however, the  
336 M151A/C295A/I86A and V115A/C295A/I86A triple mutants showed significant  
337 deactivation from  $80$  to  $90^{\circ}\text{C}$ . Substitutions of M-115 and Val-151 with alanine  
338 improved the  $T_{1/2}$  of the mutants which may be attributed to alanine's ability to interact  
339 properly with neighboring residues to form hydrophobic bonds to stabilize the enzyme  
340 [32]. We have shown that substitutions at key positions in the TeSADH small binding  
341 pocket with alanine or glycine affect catalysis, stability, or both. Previous studies  
342 reported improvement of ADH enzyme stability by proline substitutions [24, 25, 33],  
343 however, we propose the restoration of the double mutant TeSADH stability by alanine  
344 substitution, hence, the need to research more into other residues for TeSADH



345 stabilization.

346 In this study, an additional single point mutation at five key residues in the small  
347 binding pocket (Ala-85, Val-115, Met-151, Thr-153, and Val-178) expanded the small  
348 alkyl binding pocket even more in the active site of the double mutant (C295A/I86A)  
349 TeSADH to accommodate aromatic hydrophobic ketones with electron donating or  
350 electron withdrawing substituents. The mutants show diverse binding and catalytic  
351 efficiencies to substrates from the kinetic study. Coupling the kinetic studies with the  
352 hydrogen transfer asymmetric reduction in biphasic media suggest some similarity  
353 between the two, however, no direct correlation exists. The A85G/C295A/I86A,  
354 V115A/C295A/I86A, and T153A/C295A/I86A triple mutants showed broad substrate  
355 specificity and productivity to acetophenone, 3'-methylacetophenone, and 3'-  
356 chloroacetophenone to produce enantiopure alcohols. Mutants showed little or no  
357 preference for the *para*- substituted derivatives, but most importantly, mutants reacted  
358 moderately to more than one substrate explaining their broad substrate tolerance. From  
359 the mutant's thermal stability studies, alanine mutations at some key positions in the  
360 small pocket restored the lost thermal stability of the double mutant and make it similar  
361 to the wild-type. The generated triple mutants may be useful in dynamic-kinetic  
362 resolution reactions for producing chiral alcohols.

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367 **Conflict of Interest**

368 The authors have no financial conflicts of interest to declare.

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466 **Table 1.** List of forward primers used for site-directed mutagenesis.

Mutations	Forward primer sequences
A85G	5'-GCGTTGTTGTGCCAG <b>GGT</b> GCTACCCCTGATTG
V115A	5'-TGGAAATTTTCGAAT <b>GCA</b> AAAGATGGTGTTTTTG
M151A	5'-AGTTATGATTCCCGAT <b>GCG</b> ATGACCACTGGTTTTTC
T153A	5'-GATTCCCGATATGATG <b>GCC</b> ACTGGTTTTTCACG
V178A	5'-TGGGTATTGGCCCAG <b>CAG</b> GTCTTATGGCAGT

467

468 Each additional mutation was introduced on the double mutant (C295A/I86A) TeSADH  
469 using the forward mutagenic primer and the reverse mutagenic primer as its reverse  
470 complement. The mutated nucleotides are bold and the codons are underlined.



471 **Table 2.** Residual and relative activity of mutants with isopropanol in organic medium.

472

TeSADH	T <sub>1/2</sub> (°C) <sup>a</sup>	% Relative activity <sup>b</sup>
473 WT	86.5	128 ± 1.0
474 DM	<b>73.1</b>	<b>100 ± 0.6</b>
475 A85G	65.1	55.1 ± 0.3
476 V115A	75.5	14.7 ± 0.2
477 M151A	79.9	141 ± 1.0
478 T153A	72.5	157 ± 0.5
V178A	68.5	148 ± 0.4

479

480 WT and DM mean the wild-type and the double mutant (C295A/I86A) TeSADH,  
481 respectively. Each additional mutation was introduced on the double mutant (C295A  
482 /I86A) TeSADH.

483 <sup>a</sup> T<sub>1/2</sub> is the temperature at which 50% enzymatic activity is lost after 60 min incubation  
484 in the range of 30 – 90°C. Values are obtained from interpolation between residual  
485 enzymatic activity versus temperature (standard deviation = ± 2°C).

486 <sup>b</sup> Relative activity of mutants measured at 60°C in 50 mM Tris-HCl buffer (pH 8.9)  
487 containing 10 µM ZnCl<sub>2</sub>, 4 mM isopropanol, 0.5 mM NADP<sup>+</sup> and 3 mM DTT. Each  
488 value represents mean of triplicate enzymatic activity ± standard deviation. The activity  
489 of the double mutant with isopropanol is set as baseline (100%).

490 **Table 3.** Kinetic parameters determined using isopropanol or acetophenone as a  
 491 substrate.

Mutant	Isopropanol			Acetophenone		
	$K_M$ (mM)	$k_{cat}$ (s <sup>-1</sup> )	$k_{cat}/K_M$ (M <sup>-1</sup> s <sup>-1</sup> )	$K_M$ (mM)	$k_{cat}$ (s <sup>-1</sup> )	$k_{cat}/K_M$ (M <sup>-1</sup> s <sup>-1</sup> )
WT	0.069 ± 0.014	23.6 ± 0.8	343,100 ± 70,800	0.30 ± 0.13	0.50 ± 0.05	170 ± 70
DM	<b>0.12 ± 0.05</b>	3.6 ± 0.4	29,600 ± 12,500	1.3 ± 0.1	2.4 ± 0.2	1,940 ± 260
A85G*	9.2 ± 1.7	88.1 ± 6.3	9,540 ± 1,890	ND	ND	ND
V115A*	ND	ND	ND	0.91 ± 0.26	3.0 ± 0.2	3,300 ± 990
M151A	4.4 ± 0.8	30.4 ± 2.9	6,840 ± 1,450	0.30 ± 0.11	2.8 ± 0.2	9,290 ± 3,510
T153A	5.7 ± 1.7	12.6 ± 1.5	2,230 ± 720	1.8 ± 0.5	1.9 ± 0.2	1,040 ± 290
V178A	20.2 ± 6.3	28.8 ± 4.6	1,430 ± 500	1.1 ± 0.4	1.1 ± 0.1	1,050 ± 380

492

493 WT and DM mean the wild-type and the double mutant (C295A/I86A) TeSADH,  
 494 respectively. Each additional mutation was introduced on the double mutant  
 495 (C295A/I86A) TeSADH.

496  $K_M$  (mM) is the substrate concentration at  $1/2V_{max}$ .  $k_{cat}$  is the turnover number in  
 497 minutes and  $k_{cat}/K_M$  is the catalytic efficiency (M<sup>-1</sup>s<sup>-1</sup>) of the enzyme. All values  
 498 represent mean of triplicate reactions ± standard deviation from 0.1 – 32 mM substrate  
 499 concentration. ND values are not determined due to absorbance inconsistencies.

500 \* Protein concentration is halved

501

502 **Table 4.** Kinetic parameters calculation using 3'-chloroacetophenone or 4'-  
 503 chloroacetophenone as a substrate.

Mutant	3'-Chloroacetophenone			4'-Chloroacetophenone		
	$K_M$ (mM)	$k_{cat}$ (s <sup>-1</sup> )	$k_{cat}/K_M$ (M <sup>-1</sup> s <sup>-1</sup> )	$K_M$ (mM)	$k_{cat}$ (s <sup>-1</sup> )	$k_{cat}/K_M$ (M <sup>-1</sup> s <sup>-1</sup> )
WT	0.80 ± 0.12	0.29 ± 0.15	370 ± 200	3.1 ± 0.9	2.8 ± 0.1	700 ± 210
DM	<b>0.30 ± 0.07</b>	1.6 ± 0.04	5,290 ± 1,230	0.33 ± 0.002	8.6 ± 1.7	26,000 ± 5,100
V115A*	1.2 ± 0.6	2.6 ± 0.3	2,200 ± 1,240	1.1 ± 0.4	3.8 ± 0.3	3,580 ± 1,450
M151A	0.31 ± 0.05	1.7 ± 0.04	5,600 ± 920	6.6 ± 1.0	1.3 ± 0.1	200 ± 30
T153A	1.3 ± 0.4	1.5 ± 0.1	1,110 ± 380	8.3 ± 1.3	1.4 ± 0.1	170 ± 30
V178A	1.3 ± 0.4	1.5 ± 0.1	1,190 ± 340	4.6 ± 0.8	0.75 ± 0.04	160 ± 30

504

505 WT and DM mean the wild-type and the double mutant (I86A/C295A) TeSADH,  
 506 respectively. Each additional mutation was introduced on the double mutant (C295A/  
 507 I86A) TeSADH.

508  $K_M$  (mM) is the substrate concentration at  $1/2V_{max}$ .  $k_{cat}$  is the turnover number in  
 509 minutes and  $k_{cat}/K_M$  is the catalytic efficiency (M<sup>-1</sup>s<sup>-1</sup>) of the enzyme. All values  
 510 represent mean of triplicate reactions ± standard deviation from 0.1 – 32 mM substrate  
 511 concentration.

512 \* Protein concentration is halved

513 The A85G/C295A/I86A triple mutant did not produce consistent values to plot non-  
 514 linear fit by using 3'-chloroacetophenone and 4'-chloroacetophenone as substrates.

515

516 **Table 5.** Effect of temperature on the deactivation of TeSADH mutants.

Temp (°C)	Deactivation Rate Constant ( $k_d \times 10^2$ )						
	WT	DM	A85G	V115A	M151A	T153A	V158A
60	–	0.23	–	–	–	–	–
70	–	0.59	6.3	–	–	0.56	–
80	–	4.1	8.2	4.7	1.6	7.4	–
90	8.2	9.5	11	8.2	7.6	7.6	–

522

523 WT and DM mean the wild-type and the double mutant (C295A/I86A) TeSADH,  
 524 respectively. Each additional mutation was introduced on the double mutant (C295A/  
 525 I86A) TeSADH.

526

527 **Figure legends**

528

529 **Fig. 1.** Ribbon drawing of double mutant (I86A/C295A) TeSADH.

530 Yellow color (amino acid to be substituted by site-directed mutagenesis), Red color ( $\beta$ -  
531 sheet), Cyan ( $\alpha$ -helix) and Purple (loop). All mutant TeSADHs were analyzed with  
532 PyMol (PyMol Molecular Graphics Systems) using PDB file 1bxz as template.

533

534 **Fig. 2.** Chemical structures of substrates used for activity, kinetics, and reduction  
535 studies.

536 (1a) acetophenone, (2a) 3'-methylacetophenone, (3a) 4'-methylacetophenone, (4a) 3'-  
537 chloroacetophenone, (5a) 4'-chloroacetophenone, (6a) 3'-methoxyacetophenone and  
538 (7a) isopropanol.

539

540 **Fig. 3.** Percentage yield/conversion of selected mutants in the biphasic asymmetric  
541 reduction system using acetophenone and its derivatives as a substrate.

542 Acetophenone (1a, ■); 3'-methylacetophenone (2a, ≡); 3'-chloroacetophenone (4a,  
543 ■), 4'-chloroacetophenone (5a, ▨), or 3'-methoxyacetophenone (6a, ▩). DM means  
544 the double mutant (C295A/I86A) TeSADH.

545

546 **Fig. 4.** Effect of mutations on the thermal stability of TeSADH.

547 Thermal stability was determined by measuring the residual enzymatic activity after 60  
548 min of incubation from 30 – 90°C using a UV-Vis spectrophotometer equipped with  
549 temperature controller. WT and DM mean the wild-type and the double mutant  
550 (C295A/I86A) TeSADH, respectively.

551 Wild-type (open square), C295A/I86A (open circle), A85G/C295A/I86A (open triangle;  
552 up), V115A/C295A/I86A (open triangle; down), M151A/C295A/I86A (open diamond),  
553 T153A/C295A/I86A (filled circle), and V178A/C295A/I86A (filled square).

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**Fig. 1.**



Fig. 2.

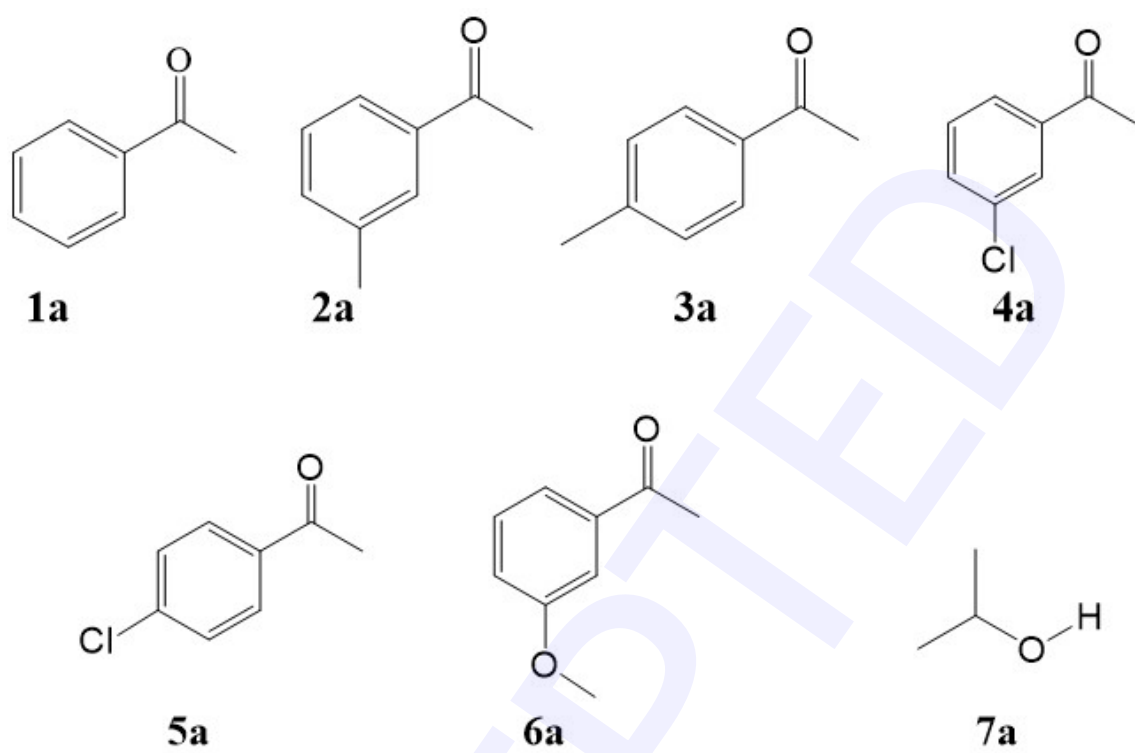




Fig. 3.

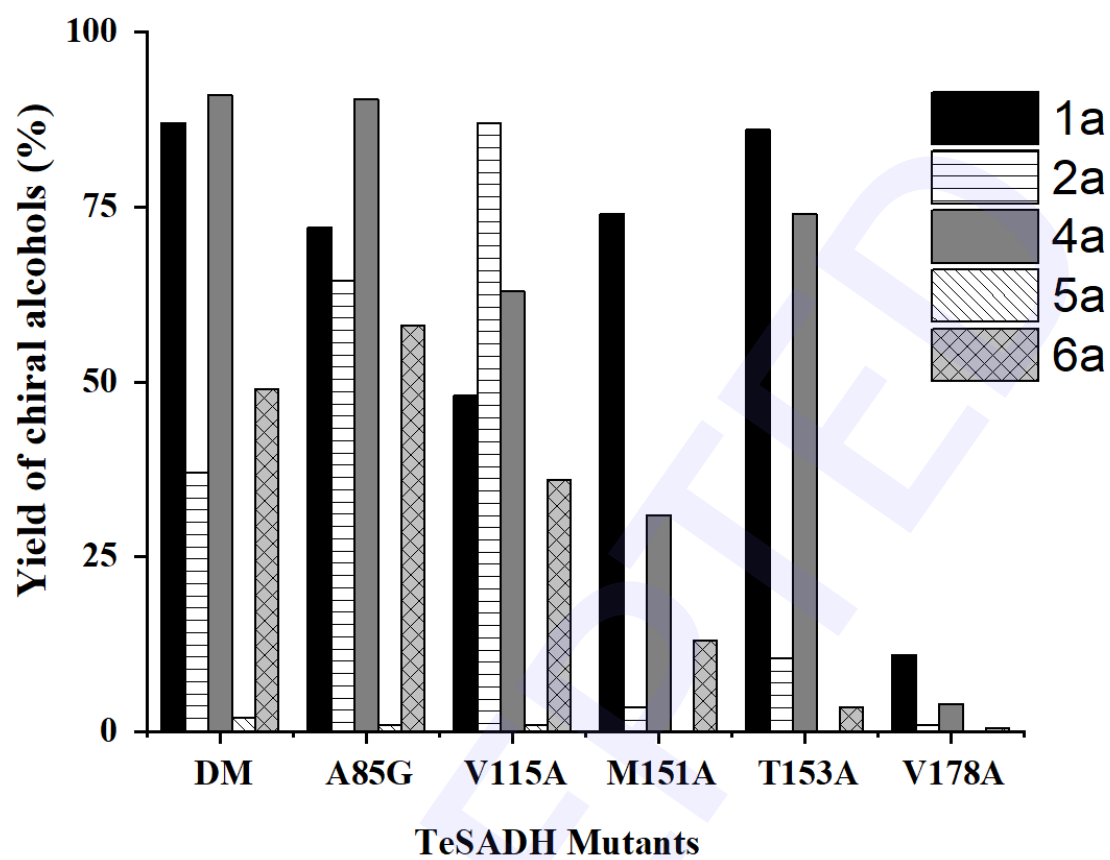


Fig. 4.

