



JMB Papers in Press. First Published online Nov 16, 2018

DOI: 10.4014/jmb.1808.07068

Manuscript Number: JMB18-07068

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Article Type: Research article

Keywords: Bacterial community, substrate utilization, rapid filter, biological activated carbon filter, biological filter, drinking water

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1 *Running title: Bacterial communities in biological filters*

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3 **Characteristics of bacterial communities in biological filters of full-**
4 **scale drinking water treatment plants**
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1 Abstract

2
3 The taxonomic and functional characteristics of bacterial communities in the pre-
4 chlorinated rapid filters and ozonated biological activated carbon (BAC) filters were
5 compared using Illumina MiSeq sequencing of 16S rRNA gene and community-level
6 physiological profiling (CLPP) based on sole carbon utilization patterns. Both the rapid filters
7 and BAC filters were dominated by *Rhizobiales* within *α-proteobacteria*, but other abundant
8 orders and genera were significantly different in both types of filter. *Firmicutes* were
9 abundant only in the intermediate chlorinated rapid filter, while *Acidobacteria* were abundant
10 only in the BAC filters. Bacterial communities in the rapid filter showed high utilization of
11 carbohydrates, while those in the BAC filters showed high utilization of polymers and
12 carboxylic acids. These different characteristics of the bacterial communities could be related
13 to the different substrates in the influents, filling materials, and residual disinfectants.
14 Chlorination and ozonation inactivated the existing bacteria in the influent and formed
15 different bacterial communities, which could be resistant to the oxidants and effectively
16 utilize different substrates produced by the oxidant, including *Phreatobacter* in the rapid
17 filters and *Hyphomicrobium* in the BAC filters. *Bradyrhizobium* and *Leptothrix* which could
18 utilize compounds adsorbed on the GAC were abundant in the BAC filters. Ozonation
19 increased taxonomic diversity but decreased functional diversity of the bacterial communities
20 in the BAC filters. This study provides some new insights into the effects of oxidation
21 processes and filling materials on the bacterial community structure in the biological filters of
22 drinking water treatment plants.

23
24 Keywords: bacterial community, substrate utilization, rapid filter, biological activated carbon
25 filter, biological filter, drinking water

1 Introduction

2
3 Biological filtration refers to the process of removing both particulate matters and
4 biodegradable organic matters (BOM) from water [1]. Biological filters can remove organic
5 compounds through the fixed biofilm that develops on various media such as sand, anthracite,
6 granular activated carbon (GAC), or membranes [2]. [The biologically active rapid filter and](#)
7 [the biological activated carbon \(BAC\) filter have been widely used for decades in drinking](#)
8 [water treatment plants \[1–3\].](#) The rapid filter is usually filled with sand or anthracite, and is
9 considered the most economical way to remove particles and BOM with the same filter unit
10 [1]. However, many previous researches have demonstrated that the BAC filter is a more
11 appropriate process to remove BOM than the rapid filter [4,5]. Generally, the combination of
12 ozonation and GAC filter is referred to as the BAC process [3]. Ozonation can convert high
13 molecular weight refractory organic matters into low molecular weight BOM, and such an
14 increased BOM can be effectively removed by the bacteria attached to the BAC filter [1,4,6–
15 8].

16 The biological filtration has many advantages for the drinking water treatments. First of
17 all, it is important to increase the biostability of drinking water by reducing the quantity of
18 BOM which can cause bacterial regrowth in distribution systems [1,3–5]. It can reduce the
19 disinfection by-products (DBPs) precursors and chlorine demand, which can help to maintain
20 stable residual chlorine in the distribution system [1,3]. The biological filtration can also
21 remove various biodegradable micropollutants including taste and odor compounds [1–3,9].
22 Furthermore, an active biofilm can extend the lifetime of GAC filter by bio-regeneration [3].

23 However, there is a lack of information about which microorganisms are involved in [the](#)
24 [functions](#) [2]. It is necessary to identify the attached microorganisms and characterize the
25 microbial communities of various biological filters in order to determine the role of

1 microorganisms and enhance the removal of biodegradable contaminants. Especially, the
2 change of microbial community in the biological filters after the oxidation process is
3 important to produce biologically stable drinking water in full-scale water treatment plants.
4 Bacteria have a unique substrate preference [10,11], so the change of microbial composition
5 in biological filters can result in different removal of BOM in water. Both chlorination and
6 ozonation can increase the BOM concentration, but they can produce different kinds of
7 substrates [8,12,13]. Without the dominance of bacteria utilizing new substrates produced by
8 those oxidants, biological filters cannot effectively remove the increased BOM, which can
9 cause bacterial regrowth in the distribution system [14,15]. In addition, understanding the
10 microbial community in a biological filter is useful in terms of public health because the
11 biofilm in a biological filter can be a source of microbial contamination in the distribution
12 systems [16]. It is possible to screen pathogenic or disinfection resistant microorganisms and
13 adopt appropriate measures to control them in advance [17,18].

14 Recently, many researchers have used molecular biological methods to evaluate the
15 microbial community structure in the aquatic ecosystem. In particular, next-generation
16 sequencing (NGS) is a culture independent and high throughput method of analyzing the
17 structure of an entire microbial community [10,19,20]. In addition, community-level
18 physiological profiling (CLPP) based on sole carbon utilization patterns has deepened
19 understanding of the metabolic ability and functional characteristics of microbial communities
20 [21]. In this study, therefore, Illumina MiSeq sequencing of 16S rRNA gene and CLPP were
21 used to investigate the characteristics of bacterial communities attached to the biological
22 filters in full-scale drinking water treatment plants.

23 The main purposes of this study were 1) to compare the differences in the taxonomic and
24 functional characteristics of bacterial communities in rapid filters and BAC filters which
25 received pre-chlorinated water and ozonated water, respectively; 2) to investigate the

1 relationship between the taxonomic composition and substrate utilization patterns of bacterial
2 communities; and 3) to elucidate how the different oxidation processes, i.e., chlorination vs
3 ozonation, effect on the bacterial communities of biological filters.

5 **Materials and Methods**

6 **Study sites and sampling.**

7 This study was conducted at three full-scale water treatment plants (WTPs) in Seoul, South
8 Korea (Fig. 1). All three WTPs use the surface water of the Han River as source water, and
9 consist of conventional and advanced water treatment processes. The conventional treatment
10 includes pre-chlorination, coagulation-sedimentation, and rapid filtration; whereas the
11 advanced treatment includes ozonation and BAC filtration, and post-chlorination finally
12 follows the advanced treatment. Pre-chlorination was applied at the intake of the raw water,
13 and ozone was added after the rapid filters of the WTPs. Intermediate chlorine was added
14 after sedimentation only at WTP2. The rapid filters of WTP1 and WTP3 are filled with sand,
15 while WTP2 has a dual media (anthracite/sand) rapid filter. The BAC filters are filled with
16 coal-based granular activated carbon (GAC), and the empty bed contact time (EBCT) is 15
17 minutes. The detailed design and operational parameters of the three WTPs are shown in
18 Table 1.

19 The conventional processes have been operated for decades, except for WTP2; whereas
20 the advanced treatment of the three WTPs commenced in October 2014. For the purposes of
21 this study, after one year of operation, the filling materials (media) were taken from the rapid
22 filters and BAC filters of the three WTPs in October 2015. The media were collected from the
23 top layer at about 1~2 cm below the surface of all the biological filters using a core sampler.
24 The collected medium samples were then placed in a sterilized bag and mixed well, after
25 which the taxonomic composition and substrate utilization of the attached bacterial

1 communities in the mixed medium samples were investigated. The residual chlorine in the
2 water samples was measured using a Hach pocket chlorine colorimeter.

4 **Next-generation sequencing of bacterial communities**

5 The DNA of the attached bacteria was extracted from the media of the biological filters using
6 a Fast DNA Spin Kit for soil (MP Biomedicals), and the extracted DNA was amplified and
7 sequenced by ChunLab Inc. (Seoul, Korea).

8 Briefly, the extracted DNA was amplified by polymerase chain reaction (PCR) using the
9 primers 341F and 805R, targeting the V3 to V4 regions of the 16S rRNA gene. Then,
10 secondary amplification was performed in order to attach the Illumina NexTera barcode using
11 an i5 forward primer and an i7 reverse primer. The condition of the PCR and the sequences of
12 primers are shown in Table 2. The PCR products were purified using a QIAquick PCR
13 cleanup kit (Qiagen, Valencia, CA, USA). Equal concentrations of the purified products were
14 pooled together, and non-target products were removed with an Ampure beads kit (Agencourt
15 Bioscience, MA, USA). The size and quality of the products were determined by a
16 Bioanalyzer 2100 (Agilent, Palo Alto, CA, USA) with a DNA 7500 chip. Mixed amplicons
17 were pooled and sequencing was carried out with a Miseq Sequencing system (Illumina,
18 USA).

19 [The EzTaxon database was used for taxonomic assignment \[22,23\]](#), and similarity was
20 analyzed using pairwise alignment. The sequences were clustered using CD-Hit7 and
21 UCLUST8, and the operational taxonomic units (OTUs) were defined based on similarity
22 ([cutoff 97%](#)). The two richness parameters, i.e., Chao and abundance-based coverage
23 estimators (ACE), and two diversity parameters, i.e., the Shannon index and the Simpson
24 index, were calculated using the CLcommunity program based on the CD-Hit clustering
25 method (ChunLab Inc., Korea). [To compare the bacterial community diversity between the](#)

1 samples, principal coordinate analysis (PcoA) and permutational analysis of variance
2 (PERMANOVA) tests were performed using BIOiPLUG bioinformatics cloud platform using
3 Jensen-Shannon divergence (ChunLab Inc., Korea).

5 **Analysis of community level physiological profiling (CLPP)**

6 Biolog EcoPlates (Biolog, Inc., USA) were used to compare the characteristics of substrate
7 utilization by the bacterial communities attached to the biological filters. EcoPlates consist of
8 96-well plates containing 31 different substrates and one blank in three replications (Table 3).
9 10 g of each medium sample was placed in 90 mL of sterilized NaCl solution (0.85%) with
10 sodium thiosulfate in a 250 mL Erlenmeyer flask, which was shaken at 250 rpm for 30
11 minutes to detach the bacteria. After settling large particles for 30 minutes, 50 mL of
12 supernatant was taken and filtered with a 5 µm sterilized syringe filter to remove particulate
13 matters. 150 µL of the filtrate was inoculated into each well of the EcoPlates, which were then
14 incubated at 25 °C for 7 days. The absorbance of the EcoPlates was measured at 620 nm every
15 day using a microplate reader (Ascent Multiscan, Labsystem).

16 The bacterial activity (A_i) of each substrate was calculated by subtracting the absorbance
17 value of the blank from the absorbance value obtained for each substrate. The threshold of the
18 A_i was set at 0.1 after considering the standard variation of blank absorbance, so any A_i
19 values above 0.1 were considered to be positive. The average bacterial activity of the
20 substrates in the EcoPlates was expressed as Average Well Color Development (AWCD), as
21 shown in the following equation [24].

$$23 \quad \text{AWCD} = \sum A_i / 31 = \sum (\text{OD}_i - C) / 31$$

24 Where,

25 OD_i : the mean absorbance value of the triplicate wells of each substrate.

1 C: the mean absorbance value of the triplicate blank wells.

2 A_i : the difference between the absorbance of each substrate and the blank absorbance

3
4 For functional diversity, Richness (R), the Shannon index (H') and the Simpson index (D)
5 were calculated using an A_i above 0.1 on the fifth day, as expressed by the following equation
6 [24,25]:

$$7 \text{ Richness (R) = number of substrates with } A_i > 0.1$$

$$8 \text{ Shannon index (H')} = -\sum p_i (\ln p_i)$$

$$9 \text{ Simpson index (D) = } \sum (p_i)^2$$

$$10 p_i = A_i/n, n = \sum A_i$$

11 Where,

12 n: sum of A_i values of 31 substrates

13 p_i : the ratio of the A_i value of each substrate to the sum of the A_i values of the 31 substrates

14
15 The 31 organic substrates in the EcoPlates were categorized into the following 6 groups
16 according to Insam [26] : (1) amines, (2) amino acids, (3) carbohydrates, (4) carboxylic acids,
17 (5) polymers, (6) phenolic compounds (Table 3).

19 Results and Discussion

21 The taxonomic composition of the bacterial communities

22 A comparison was made of the taxonomic composition of the bacterial communities in two
23 rapid filters and three BAC filters. In the case of the rapid filter of WTP3, the concentration of
24 the extracted DNA was too low to acquire sequencing data. The relative abundance of the

1 bacterial communities in the five biological filter samples was shown at the phylum, class and
2 order levels (Fig. 2).

3 At the phylum level, both the rapid filters and the BAC filters shared two dominant
4 bacterial populations: *Proteobacteria* (58~74%) and *Bacteroidetes* (11~23%). However,
5 *Acidobacteria* (2~15%) were abundant only in the BAC filters, while *Firmicutes* (11%) were
6 abundant only in the rapid filter of WTP2. Some previous studies also reported the dominance
7 of *Acidobacteria* only in the biofilm of BAC filters for drinking water treatment [17,27].
8 But how *Acidobacteria* are selected in the biofilm of BAC filters is not yet understood.
9 Meanwhile, some previous studies pointed to a high relative abundance of *Nitrospirae* in the
10 biological filters of drinking water treatment plants [28,29]. In this study, however, only a
11 very low level of *Nitrospirae*, i.e., average 0.07% and STD 0.13%, was detected in all of the
12 biological filters. This finding could be attributed to the low concentration of ammonia in
13 influent water due to oxidation by pre-chlorination.

14 In the subclass of *Proteobacteria*, α -*proteobacteria* (35~71%) were the most dominant
15 class in all of the biological filters, followed by β -*proteobacteria* (1~27%). γ -*proteobacteria*, a
16 medically important group including many pathogens such as *Salmonella*, *Yersinia*, and
17 *Vibrio* [30], showed low abundance (0.4~7%) in both types of filter. Many previous studies
18 have also reported the dominance of α -*proteobacteria* in the biofilms of drinking water
19 systems [18,19,28,31,32]. Generally, α -*proteobacteria* and γ -*proteobacteria* are abundant in
20 marine ecosystems, whereas β -*proteobacteria* and *Actinobacteria* are abundant in freshwater
21 ecosystems [11]. This dominance of α -*proteobacteria* in drinking water systems might be
22 related to its competitiveness in the nutrient-poor conditions of drinking water treatment
23 systems and their ability to degrade complex organic compounds, including humic substances
24 [11]. Copiotrophic β - and γ -*proteobacteria* can grow rapidly in nutrient-rich conditions, while
25 oligotrophic α -*proteobacteria* have low growth rates but can survive in chronic starvation

1 conditions using low concentrations of substrates [33,34]. Therefore, *α-proteobacteria* may
2 have a disadvantage in nutrient-rich conditions such as wastewater, but they can outcompete
3 *β-* and *γ-proteobacteria* in nutrient-poor drinking water systems [11,33–36]. Besides *α-* and *β-*
4 *proteobacteria*, the three BAC filters were dominated by *Sphingobacteriia* (6~13%),
5 *Cytophagia* (5~8%) and *Blastocatellia* (1~13%). The rapid filters of WTP1 and WTP2 were
6 dominated by *Sphingobacteriia* (23%) and *Bacteroidia* (18%), respectively. Also, *Clostridia*
7 (7%), *Tissierellia* (2%) and *Bacilli* (1%) within *Firmicutes* were abundant only in the rapid
8 filter of WTP2.

9 Both the rapid filters and the BAC filters showed a significant difference in bacterial
10 composition below the order level although *Rhizobiales* within *α-proteobacteria* were the
11 commonly abundant order (22~28%) in all of the biological filters. In particular,
12 *Rhodospirillales*, *Blastocatellales* and *Cytophagales* were abundant orders in the BAC filters,
13 while *Sphingomonadales* were abundant in the rapid filters. Previous studies have been
14 reported that *Rhizobiales* were dominant in the biological filters of drinking water treatment
15 plants, which may be related to the metabolic versatility and the ability of extracellular
16 polymeric substances (EPS) production that protects the bacteria from the harsh environment
17 [18,29,37].

18 At the genus level, many dominant genera in the biological filters were related to
19 uncultured bacteria, and minor genera with a low relative abundance of <1% (ECT) accounted
20 for the high percentage of bacterial communities. In the BAC filters, uncultured genus
21 (FJ479296_g), *Bradyrhizobium* and *Hyphomicrobium* within *Rhizobiales* were dominant. In
22 addition, *Aridibacter*, *Lacibacter*, *Leptothrix*, *Reyranella* and uncultured genera within
23 *Cytophagales* (GU454944_g), *β-proteobacteria* (GQ263935_g) and *Blastocatellales*
24 (EU335275_g) were more abundant in the BAC filters than the rapid filters. Conversely,
25 *Phreatobacter* within *Rhizobiales* was dominant in the rapid filters, and *Sphingomonas* and

1 *Novosphingobium* within *Sphingomonadales* were more abundant in the rapid filters
2 compared to the BAC filters (Fig. 3).

3 The composition of the bacterial communities in the rapid filters was significantly
4 different from that of BAC filters at the 90% confidence level (PERMANOVA $p=0.09$). The
5 PcoA analysis showed that three BAC filters had a similar bacterial composition while the
6 two rapid filters had a large variation in the bacterial composition (Fig. 4). Despite this large
7 variation, the rapid filter samples were clearly separated from the BAC samples on the first
8 principal coordinate. Also, in the heat map analysis, the common genera in the rapid filters
9 were quite different from those of the BAC filters, so the two rapid filters (RF-1, 2) and the
10 three BAC filters (BAC-1, 2, 3) were clustered, respectively (Fig. 3).

11 12 **Characteristics of substrate utilization of bacterial community**

13 The AWCD as an average substrate utilization by the bacterial communities was compared
14 between the rapid filters and the BAC filters of the three WTPs (Fig. 5). Except for WTP1,
15 the AWCD of the rapid filters was low because the attached bacterial concentration of the
16 rapid filters was low due to the residual chlorine in the influents. However, the level of
17 AWCD was not proportional to the attached bacterial concentration in all of the biological
18 filters. The rapid filter of WTP1 showed the highest AWCD, although the BAC filters of
19 WTP1 and WTP2 had over 100 times more attached bacterial biomass than the rapid filter of
20 WTP1 (data not shown). This implies that average substrate utilization is related not only to
21 the bacterial concentration but also to the composition of the bacterial community. Although
22 average substrate utilization can be a good indicator of the bacterial metabolic ability, it
23 cannot provide useful information on the functional characteristics of a bacterial community.
24 Thus, the utilization of each substrate group in the rapid filter and the BAC filter of WTP1
25 were compared, wherein both filters showed a high level of average substrate utilization. The

1 bacterial community of the rapid filter showed a high utilization of carbohydrates and amino
2 acids, while that of the BAC filter showed a high utilization of polymers, amino acids and
3 carboxylic acids (Fig. 6). In the BAC filters, especially, the contributions of carbohydrates to
4 the utilization of six substrate groups drastically decreased, while the contribution of
5 polymers and carboxylic acids increased (Fig. 7). A higher utilization of polymers, amino
6 acids and carboxylic acids was also observed in the BAC filter of WTP2 (Table 3).

7

8 **Taxonomic and functional diversity of bacterial communities**

9 The OTUs obtained by NGS sequencing ranged from 910 to 1,487 in the BAC filters, while
10 those in the rapid filters ranged from 394 to 920. The number of OTUs of the three BAC
11 filters was higher than that of the two rapid filters, and the highest number of OTUs was
12 observed in the BAC filter of WTP3 (Table 4). ACE and Chao, the parameters of richness,
13 were higher in the bacterial communities of the BAC filters than in those of the rapid filters.
14 The Shannon indices (H') of the bacterial communities in the BAC filters ranged from 4.72 to
15 5.09, while those in the rapid filters ranged from 2.54 to 4.21; and the Simpson indices (D') of
16 the bacterial communities in the BAC filters ranged from 0.017 to 0.028, while those in the
17 rapid filters ranged from 0.051 to 0.164. These higher figures for ACE, Chao, the Shannon
18 index, and the lower Simpson index in the BAC filters indicated that BAC filters had a more
19 diverse bacterial composition than the rapid filters [38].

20 On the other hand, the bacterial communities of the rapid filters showed higher
21 functional diversity based on the substrate utilization patterns compared to those of the BAC
22 filters. The substrate richness and the Shannon indices of the rapid filters were higher than
23 those of the BAC filters, while the Simpson indices of the rapid filters were lower than those
24 of the BAC filters (Table 5). The splitting of organic matter by ozonation could remove large
25 organic compounds and produce a greater variety of small organic compounds [6,39]. This

1 indicates that the total numbers of organic species could increase, but the kinds of available
2 substrate groups could decrease, especially the high molecular weight organic fractions.
3 Therefore, more varied bacteria using those various small organic matters could grow in the
4 BAC filters, but they could not utilize the relatively large organic matters, which resulted in
5 an increase of the taxonomic diversity, but in a decrease of the functional diversity of
6 substrate utilization in the BAC filters.

7

8 **Factors influencing the bacterial community structure of biological filters**

9 **Different substrates in the influent water**

10 The different substrates in the influent could cause differences in bacterial composition
11 [10,20,40]. Pre-chlorinated water and ozonated water flowed into the three rapid filters and
12 the three BAC filters, respectively. Both chlorine and ozone are good oxidants, but they can
13 produce different kinds of substrates. [Some previous studies showed changes of different](#)
14 [fractions of DOM in the drinking water treatment process. Pre-chlorination increased the high](#)
15 [molecular weight biopolymers and humic substances and low molecular weight neutrals,](#)
16 [while ozonation increased the low molecular weight fractions including building blocks,](#)
17 [neutrals, and organic acids \[10,41\]. Biopolymers, neutrals, and organic acids are](#)
18 [biodegradable, but their characteristics are different \[42\]. Biopolymers are high molecular](#)
19 [weight organic matters of >20 kDa including polysaccharides and proteins. Neutrals are](#)
20 [uncharged small organic matters of <350 Da including alcohols, aldehydes, and ketones.](#)
21 [Organic acids represent protic organic acids of low molecular weight of <350 Da \[43\]. Pre-](#)
22 [chlorination can increase high molecular weight substrates by disrupting particulate organic](#)
23 [matters, including algae in raw water \[41,44\]. Algal organic matters \(AOM\) consists of](#)
24 [biodegradable compounds including carbohydrates, proteins, lipids, amino acids and amine](#)
25 [\[44,45\]. Especially, the intracellular organic matters \(IOM\) of algae are relatively high](#)

1 molecular weight compounds compared to extracellular organic matters (EOM) [44,46].
2 Therefore, the disruption of algal cells by pre-chlorination can result in an increase of high
3 molecular weight substrates by releasing IOM. Although those high molecular weight
4 compounds can be removed by coagulation-sedimentation [41], the pre-chlorinated influent of
5 the rapid filters might contain a higher concentration of relatively large substrates than the
6 ozonated influent of the BAC filters.

7 **Post-ozonation** can break high molecular weight refractory **dissolved** organic matters and
8 produce low molecular weight oxygen-containing compounds, including aldehydes, ketones,
9 carboxylic acids and keto acids, all of which are easily biodegradable [1,6,8,39,47]. On the
10 other hand, chlorination can produce halogenated compounds, including trihalomethanes
11 (THMs), haloacetic acids (HAAs), haloketones (HKs), and haloacetonitrils (HANs) [12,48].
12 These halogenated by-products are less biodegradable than ozonated by-products although
13 some of them can be easily biodegraded [12]. Chlorination can also convert high molecular
14 weight refractory organic matters such as aromatic, lignin, phenolic compounds to
15 biodegradable organic matters, including aliphatic, ester, alcoholic and carboxylic compounds
16 [49]. However, ozone is a stronger oxidant than chlorine, thus it could produce more oxidized
17 compounds with lower molecular weights than chlorine [6,8,13]. For instance, ozonation can
18 convert carbohydrates, alcohols, and aldehydes into **carboxylic acids, which have the highest**
19 **oxidation state of organic compounds** [8,50]. Świetlik et al. [13] reported that chlorine
20 produced much lower carboxylic acids than ozone. In this study, the utilization of
21 carbohydrates decreased while that of carboxylic acids increased in the BAC filter. This could
22 be attributed to the decrease of carbohydrates and the increase of carboxylic acids in the
23 ozonated influent of the BAC filters. Moll et al. [51] also reported a significant decrease of
24 carbohydrate utilization by bacterial community in a filter with the influent of ozonated water
25 compared to a filter with the influent of non-ozonated water. Xiang et al. [21] reported that

1 carboxylic acids and polymers were utilized less than other substrate groups by the bacterial
2 community in the BAC filter with the influent of non-ozonated water.

3 In this study, *Phreatobacter*, the dominant genus in rapid filters, can use limited number
4 of carbohydrates, alcohols and amino acids, but it cannot use carboxylic acids [52]. The other
5 dominant bacteria in the rapid filters, i.e., *Sphingomonas* and *Novosphingobium*, can use
6 various carbohydrates and degrade high molecular weight recalcitrant organic compounds,
7 including chlorinated compounds and polycyclic aromatic hydrocarbons (PAH) [53–55].
8 Conversely, *Hyphomicrobium*, which was abundant in the BAC filters, can utilize low
9 molecular weight C1 compounds including methanol, formaldehyde and formate [56]. GAC
10 can adsorb the low molecular weight compounds produced by ozonation [47,57]. Therefore,
11 bacteria that utilize those low molecular weight compounds could be more appropriate to
12 grow in the BAC filters than in the rapid filters. Kim et al. [58] reported the abundance of
13 other methylotrophic bacteria including *Methylobacter* and *Methylosoma* which can utilize C1
14 compounds in BAC filter. Both *Phreatobacter* and *Hyphomicrobium* belong to the same order
15 of *Rhizobiales*. Despite the similar proportion of *Rhizobiales*, the proliferation of completely
16 different genera in the rapid filters and the BAC filters might be related to the substrate
17 preference of the attached bacteria.

18 In the CLPP test, the utilization of polymers was higher in the BAC filters than in the
19 rapid filters, which might be related to the abundance of the class *Cytophagia* only in the
20 BAC filters. The family *Cytophagaceae*, i.e., major members of *Cytophagia*, can utilize
21 various biopolymers including polysaccharides, proteins, and cellulose [59]. Kim et al. [31]
22 also reported *Cytophagaceae* (genus *Ohtaekwangia*) in the BAC filter.

23 24 **Filling materials (media)**

1 The filling materials could affect the bacterial communities of the biological filters. In this
2 study, *Bradyrhizobium* was abundant only in the BAC filters, although it shows versatile
3 substrate utilization, including various carbohydrates and alcohols [52]. This dominance of
4 *Bradyrhizobium* in the BAC filters has also been reported in other studies [10,27,29]. A recent
5 study reported that the metabolic pathway associated with aromatics degradation was
6 significantly higher in the bacterial community of the BAC filter than that of the rapid sand
7 filter, and *Bradyrhizobium* may play an important role in the aromatics biodegradation [29].
8 This finding may be one of the explanations for the dominance of *Bradyrhizobium* only in the
9 BAC filters since the aromatic organic matters can be accumulated in the BAC filters by
10 adsorption [57]. Inorganic compounds such as iron and manganese, which are minor
11 components of GAC and can be adsorbed on GAC [60], can also affect bacterial composition.
12 *Bradyrhizobium* is a nitrogen fixing bacterium and iron is required for the synthesis of many
13 components related to nitrogen fixation activity [61]. Therefore, nitrogen fixing bacteria
14 including *Bradyrhizobium* could effectively proliferate in the iron-containing BAC filters.
15 *Leptothrix*, which was another abundant genus only in the BAC filters, is an important
16 bacterium for the biological oxidation of iron and manganese [62]. *Leptothrix* could have a
17 growth advantage in the BAC filters by oxidizing those adsorbed minerals. Oh et al. [29]
18 reported that the iron metabolic pathway was significantly enriched in the bacterial
19 community of the BAC filter than that of the rapid sand filter. This finding also supports the
20 abundance of *Leptothrix* in the BAC filters.

21 In this study, attached bacterial biomass was much higher in the BAC filters than in the
22 rapid filters. GAC can support a denser attached bacterial biomass than sand or anthracite
23 since macroporous and irregular structure of GAC can be more suitable for bacterial
24 attachment due to protecting bacteria from adverse environment [1]. Surface charge of GAC

1 can also enhance the bacterial attachment, and adsorption capacity of substrates and nutrients
2 can promote growth of attached bacteria in the GAC filters than in the rapid filters [3].

3 Attached bacteria can produce biopolymers such as polysaccharide and proteins, and
4 develop biofilm that is an assemblage of those EPS and microbial cells [48,63]. Especially,
5 *Bradyrhizobium*, which was abundant in the BAC filters, is known to produce significant
6 quantities of EPS [64]. Therefore, the higher biomass of attached bacteria in the BAC filters
7 could be another source of biopolymers due to the detached biofilm and the excretion of
8 metabolites by the bacteria [27,39,48]. The increased biopolymers could result in the growth
9 of polymer-utilizing bacteria in the BAC filter.

10 The high bacterial biomass and the dominance of EPS-producing bacteria in the BAC
11 filters can adversely affect biofilter performance and water quality. The EPS released by
12 attached bacteria can cause buildup of head loss of the filters and increase of DBP formation
13 [27]. In addition, the detachment of accumulated bacteria can increase the bacterial
14 concentration in the effluent [65,66]. Although these microbes can be easily disinfected by
15 post-chlorination, some bacteria attached to GAC particles can be resistant to disinfectants
16 [65,67]. To ensure the safety of effluent quality from those challenges, more enhanced
17 operational measures may be required, including increasing disinfectant dose, backwashing
18 frequency and intensity optimization, and the installation of membrane or sand filter after
19 BAC filter [67].

20 The two rapid filters of WTP1 and WTP2 showed significant differences in their
21 bacterial composition despite having the same chlorinated influents. This could be related to
22 the different materials of media (sand for WTP1 and anthracite for WTP2). According to the
23 study by Gerrity et al. [27], the bacterial communities of the anthracite filter and the BAC
24 filter differed significantly, although the same ozonated water flowed into both filters.
25 Although the cause is not clearly understood, these results imply that the physical and

1 chemical characteristics of the filling materials might be one of the important factors that
2 influence the bacterial composition in the biological filters.

4 **Disinfection**

5 The difference in the bacterial composition between the rapid filters and the BAC filters could
6 be related to disinfection resistance. The phylum *Firmicutes* were abundant only in the rapid
7 filter of WTP2. In the case of WTP2, the average residual chlorine in the influent of the rapid
8 filter was highest due to intermediate chlorination, so chlorine resistant bacteria could have a
9 growth advantage in the rapid filter of WTP2. *Firmicutes* have gram-positive cell wall
10 structure and can form endospores, making them resistant to disinfectants [2,68]. Previous
11 researches also showed a dramatic change in the bacterial community structure after
12 chlorination, including the dominance of gram positive bacteria [17,69,70].
13 *Sphingomonadaceae*, which have been detected in drinking water distribution systems due to
14 their resistance to chlorine, were also abundant in both rapid filters [17,71].

15 Actually, the bacterial composition of the biological filters is very complex and can be
16 affected by various factors including temperature, pH, dissolved oxygen, nutrients, and the
17 age of the biofilms [16,20,31,38,72]. Although this study is not sufficiently broad in scope to
18 fundamentally understand the bacterial community changes in the biological filters, it can
19 provide some insights into the effects of the substrate changes caused by oxidation processes
20 on the bacterial community structure in the biological filters of drinking water treatment
21 plants.

22 The main conclusions of this study are as follows: (1) The bacterial communities in the rapid
23 filters and BAC filters showed significant differences in their taxonomic composition and
24 substrate utilization due to the different substrates in the influents, filling materials and
25 residual disinfectants. (2) Both the rapid filters and BAC filters were dominated by

1 *Rhizobiales* within *α-proteobacteria*, but other abundant orders and genera were significantly
2 different in both types of filter. *Acidobacteria* were abundant only in the BAC filters, while
3 *Firmicutes* were abundant only in the intermediate chlorinated rapid filter. (3) Chlorination
4 and ozonation could affect the characteristics of a bacterial community by inactivating the
5 existing bacteria in the influent and producing different substrates. More various bacteria that
6 utilize biopolymers and small ozone by-products were abundant in the BAC filters, while
7 chlorine resistant and/or less oxidized substrate-utilizing bacteria were abundant in the rapid
8 filters. (4) Ozonation increased taxonomic diversity, but decreased functional diversity of the
9 bacterial communities of the BAC filters.

11 Acknowledgement

12
13 This study was conducted as a part of a survey project of the Seoul Water Institute of Seoul
14 Metropolitan government. We would like to thank the researchers of three WTPs for their
15 helps with sampling and operating the processes.

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Tables

Table 1. Design and operational parameters of biological filters and water quality of influents in three WTPs

	WTP1	WTP2	WTP3
Capacity (m³/day)	1,100,000	450,000	720,000
Raw water quality			
Temperature (°C)	18.7	20.9	20.4
TOC (mg/L)	2.7	2.7	2.7
pH	8.0	7.3	7.6
Disinfection dose (mg/L)			
Pre-chlorine	2.2	2.2	2.0
Intermediate chlorine	-	0.6	-
Ozone.	0.4	0.5	0.6
Rapid filter			
Media/depth (m)	Sand 1.2	Anthracite 1.0/sand 0.3	Sand 1.2
Effective diameter(mm)	0.9	1.0/0.5	0.9
Influent water			
TOC (mg/L)	1.0	1.4	1.3
pH	7.1	7.1	7.3
Residual chlorine (mg/L)	0.24(monthly)	0.50 (monthly)	0.36(monthly)
Running time (year)	29	1	17
BAC filter			
Coal-based GAC from	China	USA(Calgon carbon)	China
Effective diameter (mm)	0.68	0.63	0.86
Depth (m)	2.5	2.5	2.9
EBCT (min) ^a	15	15	15
Influent water			
TOC (mg/L)	1.1	1.2	1.2
pH	7.1	7.1	7.0
Running time (year)	1	1	1

^a EBCT (Empty bed contact time) : bed volume of filter media /flow rate

1 Table 2. Condition of PCR and sequences of primers

	Forward	Reverse
DNA amplification	341F (5'-TCGTCGGCAGCGTCAGATGTGTATA- AGAGACAGCCTACGGGNGGCWGCAG-3')	805R (5'-GTCTCGTGGGCTCGGAGATGTGTAT- AAGAGACAGGACTACHVGGGTATCTAA- TCC-3')
secondary amplification X : barcode region	i5 (5'-AATGATACGGCGACCACCGAGATC- TACAC-XXXXXXXXX-TCGTCGGCAGCG- TC-3')	i7 (5'-CAAGCAGAAGACGGCATACGAGAT- XXXXXXXXX-AGTCTCGTGGGCTCGG-3')
PCR amplification conditions	3 mins at 95 °C 25 cycles : denaturation at 95 °C for 30 sec (secondary amplification : 8 cycles) primer annealing at 55 °C for 30 sec extension at 72 °C for 30 sec final elongation at 72 °C for 5 mins.	

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1 Table 3. Substrate utilization after 5 days by attached bacteria in the rapid filters and BAC
 2 filters of three WTPs

Category	Substrate	WTP1		WTP2		WTP3	
		Sand	BAC	Anthracite /Sand	BAC	Sand	BAC
Carbo- hydrates	D-Cellobiose	++++	-	-	+	-	-
	α -D-Lactose	-	-	-	-	-	-
	β -Methyl-D-Glucoside	+++	-	-	-	-	-
	D-Xylose	-	-	-	+	-	-
	i-Erythritol	-	-	-	-	-	-
	D-Mannitol	++++	-	-	+	+	-
	N-Acetyl-D-Glucosamin	+++	++	-	+	-	-
	Glucose-1-Phosphate	++	-	-	-	-	-
	D,L- α -Glycerol Phosphate	+	+	-	-	-	-
	D-Galactonic Acid γ -Lactone	+++	-	-	-	+	-
Carboxylic acids	Pyruvic Acid Methyl Ester	++	++	-	+	+	+++
	D-Glucosaminic Acid	+	-	-	-	+	-
	D-Galacturonic Acid	-	-	-	++	+	-
	γ -Hydroxybutyric Acid	-	-	-	-	-	-
	Itaconic Acid	-	-	-	-	-	-
	α -Ketobutyric Acid	-	+	-	+	-	-
	D-Malic Acid	-	+	-	+	-	-
Amino acids	L-Arginine	-	-	-	-	+	-
	L-Asparagine	+++	++	-	+	+	++
	L-Phenylalanine	-	+	-	+	-	+
	L-Serine	++	+	-	+	+	-
	L-Threonine	+	+	-	+	-	-
Glycyl-L-Glutamic Acid	+++	+	-	+	-	-	
Amines /amides	Phenylethyl-amine	-	-	-	-	-	-
	Putrescine	-	-	-	-	+	-
Phenolic compounds	2-Hydroxy Benzoic Acid	-	-	-	-	-	-
	4-Hydroxy Benzoic Acid	-	-	-	-	+	-
Polymers	Tween 40	-	+	-	++	-	-
	Tween 80	+	+	-	+	-	-
	Cyclodextrin	-	+	-	+	-	-
	Glycogen	+	+	-	++	-	-

3 $A_i < 0.1$: -, $0.1 < A_i < 1.0$: +, $1.0 < A_i < 1.5$: ++, $1.5 < A_i < 2.0$: +++, $A_i > 2.0$: +++++.

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1 Table 4. Taxonomic diversity statistics for bacterial composition in the rapid filters and BAC
 2 filters

		OUT	ACE	Chao	Coverage	Shannon	Simpson
Rapid filter	1	394	461	429	0.997	2.54	0.164
	2	920	1073	1032	0.994	4.21	0.051
	3	-	-	-	-	-	-
BAC filter	1	910	972	938	0.997	4.72	0.028
	2	1267	1305	1274	0.997	5.09	0.017
	3	1487	1524	1493	0.998	5.03	0.023

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6 Table 5. Functional diversity statistics based on the substrate utilization by bacterial
 7 communities in the rapid filters and BAC filters

		Richness	Shannon	Simpson
Rapid filter	1	15	2.5	0.09
	2	-	-	-
	3	10	2.2	0.11
BAC filter	1	14	2.4	0.10
	2	17	2.8	0.07
	3	3	1.0	0.44

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Figures

Fig. 1. Flow diagram of processes in the three drinking water treatment plants.

Fig.2. Relative abundance of bacterial communities in the two rapid filters (RF) and three BAC filters at the phylum (A), class (B), and order level (C). The remarks of the minor orders with a low relative abundance of <3% are not shown.

Fig. 3. Heat map showing the dominant genera of microbial communities in the rapid filters and BAC filters. The minor genera with an average relative abundance of <1% are not shown.

Fig. 4. Principal coordinate analysis (PcoA) of the five biological filter samples using Jensen-Shannon divergence from Illumina MiSeq sequencing

Fig. 5. Average substrate utilization by bacterial communities in the rapid filters and BAC filters of three WTPs.

Fig. 6. Utilization of each substrate group by bacterial communities in the rapid filter (A) and BAC filter (B) of WTP1

Fig. 7. The relative contribution of each substrate group to utilization of six substrate groups by bacterial communities in the rapid filter and BAC filter of WTP1

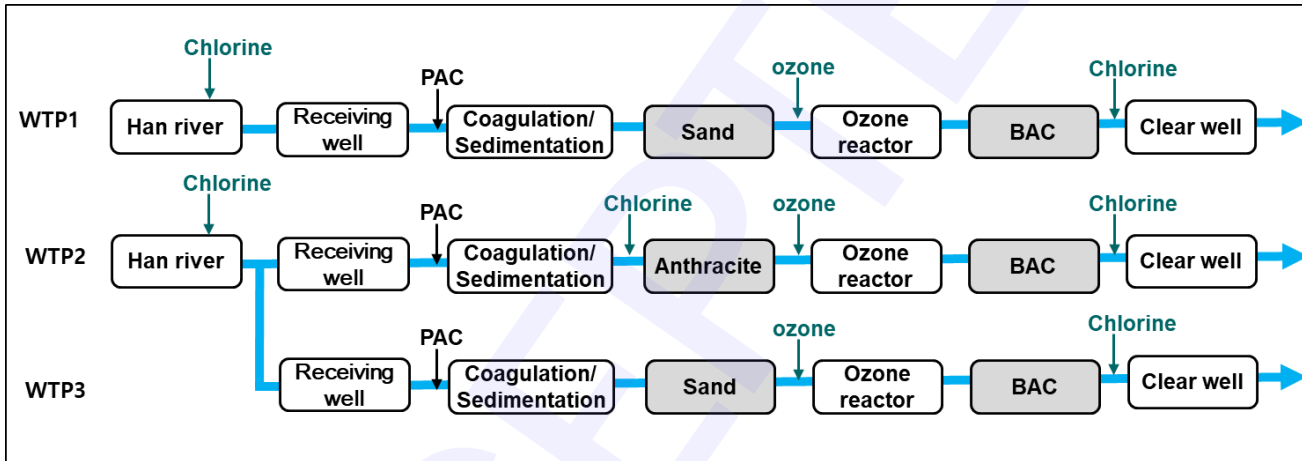


Fig.1

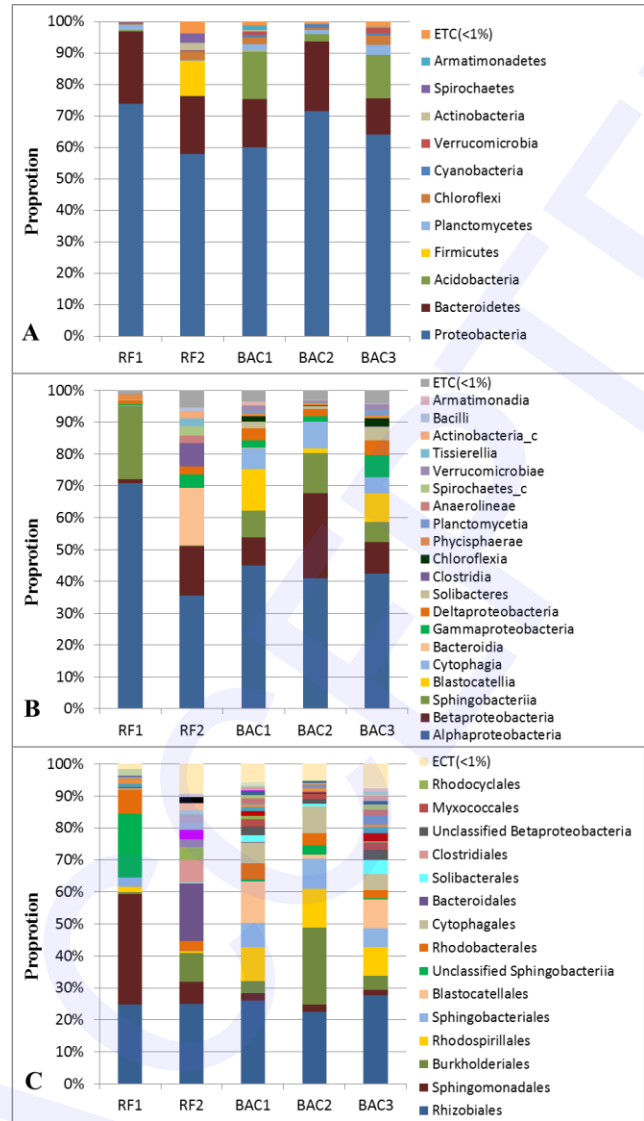


Fig.2

Heat map(Gradient)

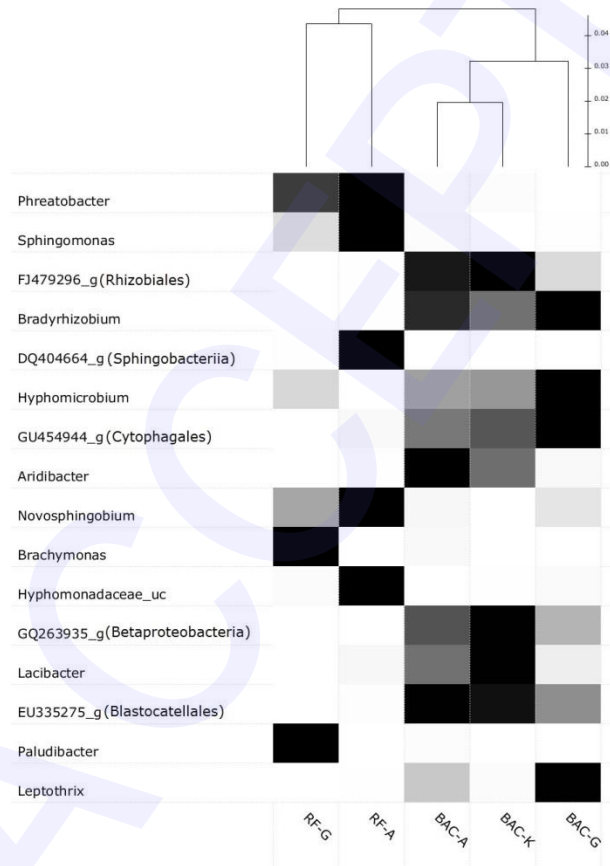


Fig.3

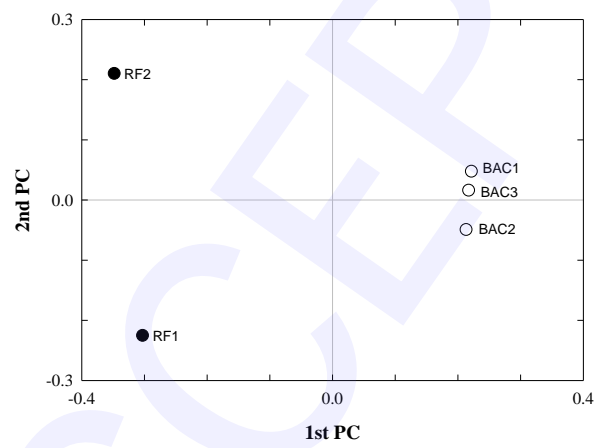


Fig.4

Fig.5

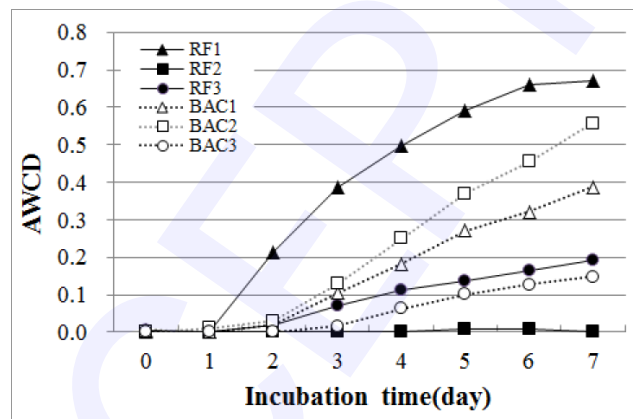


Fig.6

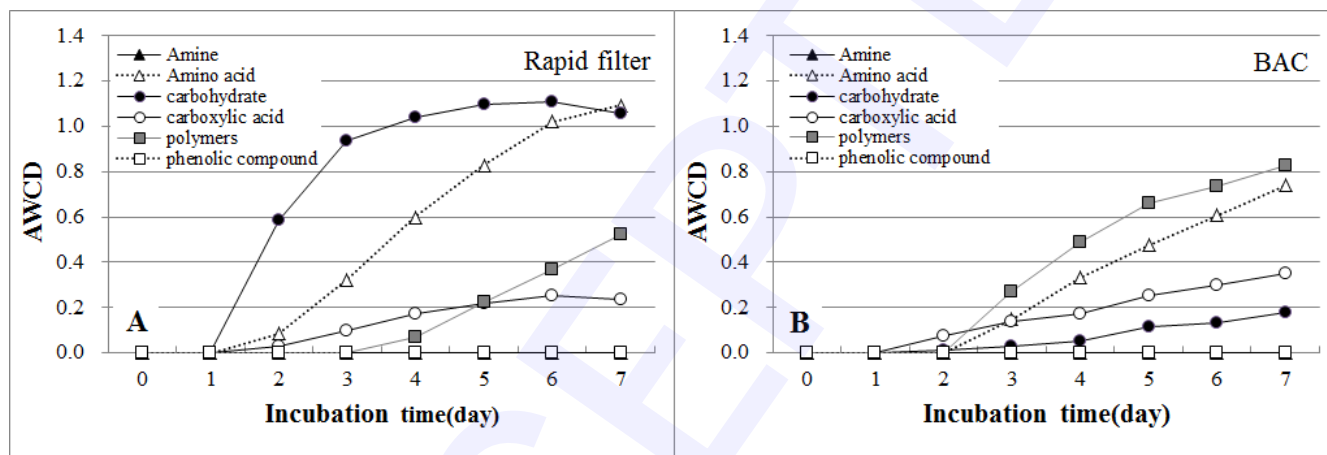


Fig.7

